

COPY OF PAPERS
ORIGINALLY FILED



Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

RECEIVED
MAIL ROOM
2002

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/669,138
Filing Date	September 26, 2000
First Named Inventor	Pollock et al.
Group Art Unit	3749
Examiner Name	Lu, J.
Attorney Docket Number	006593-1868

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☒ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Return Post Card

Remarks: The Commissioner is hereby authorized to charge any additional fees required (including the fee for any extension of time), or to credit any overpayment, to Deposit Acct No.: 20-0809.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name: Thompson Hine LLP
2000 Courthouse Plaza NE, 10 West Second Street
Dayton, Ohio 45402-1758

Signature:

Date: 11/28/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 11/28/01

Typed or printed name: John McMueller, Esq.

Signature: Date: 11/28/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS
ORIGINALLY FILED

PATENT

LD
3-8-02
Amdr
5/A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Applicant : Pollock et al.
Serial No. : 09/669,138
Filed : September 26, 2000
Title : Inlet Baffle for Water Heater
Docket : 006593-1868
Examiner : Lu, J
Art Unit: : 3749

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

AMENDMENT

This amendment is being submitted in response to the Office action dated August 28, 2001. Reconsideration and review is respectfully requested in light of the amendments and remarks presented below.

IN THE SPECIFICATION:

Attached herewith are marked-up and clean copies of the abstract as amended in accordance with 37 C.F.R. § 1.121(c). Please enter the new abstract as indicated therein.

IN THE CLAIMS:

Attached herewith is a marked-up copy of the claims as amended as well as a complete set of "clean" claims as amended in accordance with 37 C.F.R. § 1.121(c). Please amend claims 1, 9, 10, and 12 as indicated therein.

RECEIVED
MAR - 1 2002
TC 3100 MAIL ROOM